

12/31/01
J-784 U.S. PTO

01-08-02

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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J-784 U.S. PTO
10/03/01
12/31/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P1714DC
(maximum 12 characters)

First Named Inventor Lawrence F. Heyl

Title: REDUCED COMPLEXITY AUDIO MIXING APPARATUS

Express Mail Label No. EL 672 749 470 US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form** (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status.** (37 CFR 1.27)
3. **Specification (Total Pages 15)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 7)**
5. **Oath or Declaration (Total Pages 2)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney

11. English Translation Document (if applicable)

12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Copy of postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 08/861,903 Examiner M. Harvey Group Art Unit 2644
(which is a continuation/ divisional/ CIP of prior application no. 08/524,366,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Apple Computer, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 7668 Frame No. 0846 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard, Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195
Signature: J. Scheller Date: 12/31/2001

PTO/SB/35(11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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U.S. PRO
JC932 10/02/96 14
12/31/01

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 824.00

Complete if Known:

Application No. _____
 Filing Date December 31, 2001
 First Named Inventor Lawrence F. Heyl
 Group Art Unit _____
 Examiner Name _____
 Attorney Docket No. 04860.P1714DC

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code		(\$)		Code(\$)			
101	740	201	370	Utility application filing fee	<u>740.00</u>		
106	330	206	165	Design application filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional application filing fee			
SUBTOTAL (1) \$ <u>740.00</u>							

2. EXTRA CLAIM FEES

Total Claims	-	20	=	0	X	Fee from below	Fee Paid
Independent Claims	-	3	=	1	X	18.00	0
Multiple Dependent Claims					X	84.00	84.00
**Or number previously paid, if greater; For Reissues, see below.							

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code		(\$)		Code(\$)
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)\$ 84.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Description	
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
099	8,800	099 8,800 Request for inter partes reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	400	216 200 Extension for response within second month	
117	920	217 460 Extension for response within third month	
118	1,440	218 720 Extension for response within fourth month	
128	1,960	228 980 Extension for response within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,280	241 640 Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	740	249 370 For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) _____

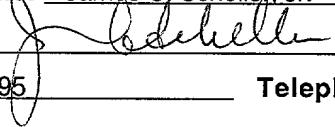
Other fee (specify) _____

SUBTOTAL (3)\$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller, Jr.

Signature:  Date: 12/31/2001

Reg. Number: 31,195 Telephone Number: (408) 720-8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
)
 Lawrence F. Heyl) Examiner: Not yet assigned
)
 Serial No: _____) Art Unit: Not yet assigned
)
 Filed: December 31, 2001)
)
 For: REDUCED COMPLEXITY)
 AUDIO MIXING APPARATUS)

Asst. Commissioner for Patents
 Washington, D.C. 20231

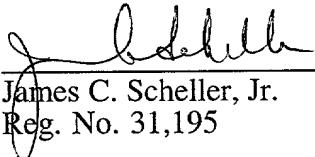
SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Transmitted herewith is a complete set of formal drawings, comprising seven (7) sheets and seven (7) figures for the above-identified application.

Respectfully submitted,
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 12/31, 2001


 James C. Scheller, Jr.
 Reg. No. 31,195

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 Seventh Floor
 Los Angeles, CA 90025-1026
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EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 672 749 470 US

Date of Deposit: December 31, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Connie Thayer

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

12-31-01

(Date signed)